

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Timothy Francis McDonough	§ § §	GROUP ART UNIT: Unknown
FILED:		§ §	
INVENTION:	Mechanism and Business Method for Implementing a Service Contract Futures Exchange	§ § § § §	EXAMINER: Unknown
SERIAL NO.:		§	ATTY FILE: CMCDO.00001

**DECLARATION CLAIMING SMALL ENTITY STATUS
PURSUANT TO 37 CFR 1.9(F) AND 1.27(B)**

* * *

SOLE INDEPENDENT INVENTOR

* * *

As a below named inventor, I hereby declare that:

I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

"Mechanism and Business Method for Implementing a Service Contract Futures Exchange"
described in the specification filed herewith

I have not assigned, granted, conveyed or licensed and am under no obligation under contract of law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☒ no such person, concern or organization.
☐ persons, concerns or organizations are listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss or entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements herein are of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name and address of Inventor:

Timothy Francis McDonough
1433 Stratford Lane
Denton, Texas 76201

Signature

Date

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DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled:

**MECHANISM AND BUSINESS METHOD FOR IMPLEMENTING A SERVICE
CONTRACT FUTURES EXCHANGE**

the specification of which is attached hereto.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability of this application as defined by Title 37, Code of Federal Regulations, § 1.56.

I hereby claim no foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate on which priority is claimed.

I hereby claim no benefit under Title 25, United States Code, §120 of any United States application.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in §1.56 which became available between the filing date

of any prior application(s) and the national or PCT international filing date of this application.

I hereby appoint Colin P. Cahoon, Registration No. 38,836, David W. Carstens, Registration No. 34,134, Duke W. Yee, Registration No. 34,285, and Rudolph J. Buchel, Registration No. 43,448, of the firm of Carstens, Yee & Cahoon, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities under the Patent Cooperation Treaty.

Send all correspondence to:

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(972) 367-2001

Attorney Docket No.:

CMCDO.00001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor:

Timothy Francis McDonough

Inventor's signature:



Date:

March 30, 2000.

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